

Let's Get Ready to Fall Into 1040 MeF

1040 MeF Working Group Meeting
New Carrollton Federal Building
September 28, 2009
9 am – 3 pm
B1-303



Agenda

- **Status Updates**
- **Fed/State**
- **Assurance Test System (ATS)**
- **Form Updates**
- **Publication Updates**
- **IRS.gov Updates**

Status Updates

- Recap of Current Filing Season
- Staying in Sync With Legacy
- Key Dates
- Volume Management Strategy Plan
- System Updates



Recap of Current Filing Season

Year to Date MeF Volume (from 01/01/09 to 09/20/09)

Form Type	2009 CUM Accepted Receipts	2008 CUM Accepted Receipts	CUM % 2009 vs 2008
1120	392,865	291,147	134.94%
1120S	1,305,259	912,910	142.98%
1120-F	1,200	N/A	N/A
7004	1,546,157	1,124,620	137.48%
1065/1065-B	954,027	589,287	161.90%
990	37,498	36,521	102.68%
990-EZ	22,033	8,061	273.33%
990-PF	6,472	4,733	136.74%
990-N	195,834	166,503	117.62%
1120-POL	14	N/A	N/A
8868	65,209	39,361	165.67%
2290	25,259	13,844	182.45%
720	34	14	242.86%
8849	996	N/A	N/A
Totals	4,552,857	3,187,001	142.86%



Recap of Current Filing Season

First time for a combined 1120 and 1065 extension peak

- MeF system performed well

Peak Volumes tracked from 09/09 to 09/15:

Total MeF Transmitted = **558,486**

Total MeF Accepted = **529,423**

Of that volume:

- Total State Submissions = 102,161
- Total State Submissions Made Available= 100,825

1120 accepted: 80,814

1065 accepted: 130,390

1120S accepted: 196,022

1065B accepted: 26

1120-F accepted:562



Recap of Current Filing Season

Top 3 error trends during peak period (09/09 – 09/15)

1120

F1120-307 = 1,825

R0000-900 = 1,593

X0000-005 = 901

1120-F

R0000-900 = 84

R0000-922 = 75

R0000-104 = 54

1120S

F1120S-239 = 3,915

R0000-910 = 1,529

R0000-905 = 930

1065

R0000-922 = 9,161

X0000-005 = 847

R0000-927 = 784

1065

F1065B-020 = 44

R0000-922 = 21

X0000-005 = 2



Staying in Sync

Consistent requirements for 1040 MeF and 1040 e-file (EMS):

ETA and Submission Processing:

- **1040 MeF team members attend the same planning meetings**
- **Change Request information shared before submitting data to Applications Development (RLO and Business Rules in particular)**
- **Monthly team meetings to discuss mutual concerns**
- **Coordinating updates to shared publications to minimize confusion**
- **ATS and PATS working together to ease burden and transition**

MITS:

Ensuring their programming/development teams are coordinating the work to ensure consistent delivery



Key Dates

1040 MeF:

Training Austin e-help Desk Assistors (ATS) = week of 10/26/09

ATS Startup = 11/02/2009

Production Startup = 02/17/2010

Pub 1345 revision = Draft submitted for review/inclusion on irs.gov

Phase II and Phase III plans are status quo – no changes are anticipated in our plans that are communicated on irs.gov.



Status Updates

- **Volume Management Strategy Plan**
- **System Updates**

Fed/State

- 1040 MeF Fed/State MOU
- Guidance for Non-MeF States



1040 MeF Fed/State MOU

- MOUs for states participating beginning in February have been sent to the Governmental Liaisons

Arkansas

Colorado

Georgia

Idaho

Indiana

Kansas

Louisiana

Michigan

Montana

Nebraska

Ohio

Oklahoma

Oregon

Rhode Island

Utah

Wisconsin



1040 MeF Fed/State MOU

- After signing the MOU, the state should send it back to IRS through the Governmental Liaisons
- States cannot be placed in production until IRS receives the MOU with original signatures



1040 MeF Fed/State MOU

- MOUs for states that will participate after April 15th will be sent to the Governmental Liaisons within the next month

Alabama
Connecticut
Delaware
Hawaii
Illinois
Iowa
Kentucky
Maryland
Massachusetts

Missouri
New Jersey
North Carolina
North Dakota
Pennsylvania
South Carolina
Vermont
West Virginia



1040 MeF Fed/State MOU

- For questions or concerns regarding the MOU, please contact:
 - Delcie Miller 512-460-7573
delcie.m.miller@irs.gov
 - Nancy Nicely 512-460-8589
nancy.e.nicely@irs.gov

Assurance Test System (ATS)

- ATS Status
- EPSS Support



Assurance Testing System (ATS)

- ATS opens 11/02/2009
- Test Publication 1436 posts to IRS.gov on 09/30/2009
 - 5 Form 1040 test returns
 - 2 Form 4868 test returns
- The e-help Desk assistor for 1040 MeF will not be the same assistor for the current 1040 e-file program



Assurance Testing System (ATS)

1040 MeF ATS Testing

- The e-help Desk will have assistors dedicated to support 1040 MeF ATS testing starting November 2 through November 30.
- After November 30, filing season activities are our priority.

Form Updates

- PDF File Names and Descriptions
- Return Header
- Form 8888
- Electronic Filing Pin (EFP) Assistance
- DCN Usage



Recommended PDF File Names and Descriptions for 1040 MeF

Form/Schedule	Source or Comment	PDF file name (limited to 64 characters)	Description of PDF Attachment
Schedule A (Form 1040)	Instructions for Schedules A (Form 1040), Page A-5, Other Than by Cash or Check.	MotorVehicleBoatAirplaneContributionExcessOf500.pdf	Motor vehicle, boat or airplane contribution in excess of \$500.
Schedule D (Form 1040)	Instructions for Sch D (Form 1040), page D-6, Specific Instructions Lines 1 and 8	ScheduleDContinuationStatement.pdf	Schedule D Continuation Statement
Schedule D (Form 1040)	Publication 550, "Sales of Stock to ESOPs or Certain Cooperatives", "How to report and postpone gain"	QualifiedReplacementPropertyPurchaseStatement.pdf	Qualified Replacement Property Purchase Statement
Schedule D (Form 1040)	Publication 550, "Sales of Stock to ESOPs or Certain Cooperatives", "How to report and postpone gain"	ESOPorCoopStockPurchaseConsent.pdf	ESOP or Coop Stock Purchase Consent
Form 2210	2210 Instructions, Waiver of Penalty Document showing retirement date (and age on that date) or date became disabled.	RetirementDocumentation.pdf DisabilityDocumentation.pdf	Retirement Documentation Disability Documentation
Form 2210	2210 Instructions, Waiver of Penalty Copies of police reports or insurance company reports	PoliceReport.pdf InsuranceReport.pdf	Police Report Insurance Report
3115		Form3115.pdf	3115 Change in Accounting Method



Recommended PDF File Names and Descriptions for 1040 MeF cont'd

Form/Schedule	Source or Comment	PDF file name (limited to 64 characters)	Description of PDF Attachment
Form 8283	Page 2 of this form has signature requirements so it will be accepted as a PDF attachment	Form8283.pdf	8283 Signature Document
Form 8283	8283 Instructions, Page 3 Easements on buildings in historic districts	QualifiedAppraisal.pdf Photograph.pdf DevelopmentRestrictionDescription.pdf	Qualified Appraisal Photograph Development Restriction Description
Form 8283	8283 Instructions, Page 3 Qualified Vehicle Donations - Contemporaneous written acknowledgement received from donee organization	DoneeOrganizationContemporaneousWrittenAcknowledgement.pdf	Donee Organization Contemporaneous Written Acknowledgement
Form 8283	8283 Instructions, Page 3 Qualified Vehicle Donations Form 1098-C, copy B	Form1098C.pdf	1098-C Contributions
Form 8283	Business Rule SA-F1040-003 Instructions, Page 5 Art valued at \$20,000 or more	ArtAppraisal.pdf	Art Appraisal (must be the beginning of the Description)
Form 8283	Deduction of more than \$500,000	QualifiedAppraisal.pdf	Qualified Appraisal
Form 8332	This form has a signature requirement so it will be accepted as a PDF attachment	Form8332.pdf	8332 Release of Exemption
Power of Attorney	Power Of Attorney (note: this form will not be processed with the electronic submission...See instructions For Form 2848 on where to file with IRS)	PowerOfAttorney.pdf	Power of Attorney



Return Header

Q. What does “STIN” represent in the Return Header?

A. “STIN” is the site identification number for tax returns prepared in the VITA and Tax Counseling for the Elderly Non-Paid Preparer IRS-Sponsored Programs. The composition of the “STIN” is “S” followed by 8 digits.



Tax Refund Savings Bond Initiative

- Option offered on Form 8888 for Tax Year 2009
- Allows taxpayers to purchase up to \$5,000.00 in U.S. Series I Savings Bonds with use of their refund
- Bonds must be purchased in multiples of \$50.00
- Any remaining refund amount must be direct deposited
- A beneficiary cannot be designated in Tax Year 2009
- A unique RTN / Account Number will be provided designating the purchase of U.S. Series I Savings Bonds
- Frequently Asked Questions are posted on the IRS website at http://www.irs.gov/pub/irs-tege/ibond_questions_answers.pdf



Electronic Filing PIN

Changes to 1040 & 4868 Headers

```
<xsd:element name="SelfSelectPIN" minOccurs="0">
  .....
  .....
  </xsd:element>
  <xsd:element name="PrimaryPrYrPINOrElecFilingPIN" type="PINType" minOccurs="0">
<xsd:annotation>
<xsd:documentation>
  <Description>Primary Prior Year PIN Or Electronic Filing Pin</Description>
  <ELFFieldNumber>AUTH0025</ELFFieldNumber>
</xsd:documentation>
</xsd:annotation>
  </xsd:element>
  <xsd:element name="SpsPrYrPINOrElecFilingPIN" type="PINType" minOccurs="0">
<xsd:annotation>
<xsd:documentation>
  <Description>Spouse Prior Year PIN Or Electronic Filing Pin</Description>
  <ELFFieldNumber>AUTH0055</ELFFieldNumber>
</xsd:documentation>
</xsd:annotation>
  </xsd:element>
```



Electronic Tax Administration Industry Conference Call

- Date: September 29, 2009
- Time: 9:00 AM EDT
- Dial In: 1-866-606-4717

Access Code: 8202090

- Place: NCFB C4-190/191
- Approximate time for Electronic Filing PIN key messages – 10:45a.m.



DCN Usage

- The Declaration Control Number (DCN) is not used by MeF.
- These boxes can remain blank on Form 8453 and any other form that has a DCN (e.g. 8878, 8879, or 9325).
- MeF uses a Submission ID instead of a DCN and does not require the Submission ID to be reported on these forms.

Publication Updates

- Publication 4164



Publication 4164 Updates

- Publication 4164 will post to IRS.gov on 10/02/2009. We expect to post a revised version in December, 2009.
- New information in Publication 4164 includes:
 - 1040/4868 JURATs (including changes to Self-Select PIN verbiage to add Electronic Filing PIN)
 - General Dependency Information (3 types of dependencies: GeneralDependency, GeneralDependencyMedium and GeneralDependencySmall)
 - Paper Document Indicator
 - Name controls for individuals
 - Acknowledgement File updates
 - “FilingStatus” changed to “AcceptanceStatus” (TY2009)
 - “EIN” changed to “TIN” (TY2009)
 - New Form 1040 only information

IRS.gov Updates

- MeF Testing Information Page
- Schemas
- Stylesheets
- 1040 Volumes



IRS.gov Updates

MeF Testing Information Page

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- [Modernized e-File \(MeF\)](#)
- [Info for e-file Providers](#)
- [More Topics ...](#)

IRS Resources

- [Compliance & Enforcement](#)
- [Contact My Local Office](#)
- [e-file](#)
- [Forms and Publications](#)
- [Frequently Asked Questions](#)
- [Newsroom](#)
- [Taxpayer Advocate Service](#)
- [Where To File](#)

Modernized e-File (MeF) Testing Information - 1040 MeF Program

General Information

The Assurance Testing System (ATS) will open on November 2, 2009.

Transmissions

Test Publications

You can find all MeF publications on the [MeF User Guides and Publications](#)

The MeF Testing Information Page on IRS.gov will identify issues/resolutions that occur during 1040 MeF testing. Access this page at:
<http://www.irs.gov/efile/article/0,,id=213513,00.html>



IRS.gov Updates

- **Schemas**
 - Will be posted to IRS.gov on October 22
- **Stylesheets**
 - Will be posted to IRS.gov on September 30
- **1040 Volumes**
 - Will be added to the Volumes Page for Production Returns



Questions?